# The art of Foling the EU

Dataharvest Masterclass 17 November 2021 Stéphane Horel, Le Monde



### **Chapter 1: Theory**

Official Journal of the European Commu	inities
REGULATION (EC) No 1049/2001 OF THE EUROPEAN PARI	LIAMENT AND OF THE COUNCIL
of 30 May 2001	
regarding public access to European Parliament, Counc	cil and Commission documents
THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE th	is Regulation as regards documents con ctivities covered by those two Treaties.
Having man 1	Wider access should be granted to do
Having regard to the proposal from the	capacity, including under delegated re same time preserving the effectivene decision-making process. Such d made directly accessible to the p
Acting in accordance with the processor of the Treaty ( <sup>2</sup> ),	29/21/2 Articles
Vhereas:	7) In accordance with Theorem Treaty, the right of access relating to the common for to police and judicial co Each institution should
The second subparagraph of Article I of and Treaty on European Union enshrines the concept of openness,	Each institution should
European Union enshrines the concept of q stating that the Treaty marks a new stage in the process of creating an ever closer union among the peoples of Europe, in which decisions are taken as openly as possible and as closely as possible to the citizen.	(8) In order to ensure the to all activities of the institutions should be this Regulation.
Openness enables citizens to participate more closely in he decision-making process and guarantees that the	(9) On account

"Any citizen of the Union, and any natural or legal person residing or having its registered office in a Member State, has a right of access to documents of the institutions" (Art. 2)



"any content whatever its medium (written on paper or stored in electronic form or as a sound, visual or audiovisual recording) (Art. 3)

- all correspondence (including, but not limited to, emails, letters, and/or telephone call notes) including attachments
- the list of meetings with detailed minutes and any other reports of such meetings, all documents prepared for the purpose of these meetings and issued after these meetings, and documents exchanged during the course of these meetings
- texts and WhatsApp messages



(of course there are, what were you thinking? 🗐)

• Security, defence & military, international relations, financial, monetary or economic policies (4.1a)

- Privacy, personal data (4.1b)
- Commercial interest, court proceedings, investigations (4.2)
- Ongoing decision-making process (4.3)
- Third party / Member States (4.4; 4.5)

### **Exceptions** in 2020

Reason	Initial stage (%)
Security, defence & military, international relations, financial, monetary or economic policies (4.1a)	13,5
Privacy, personal data (4.1b)	44
Commercial interest, court proceedings, investigations (4.2)	18
Ongoing decision-making process (4.3)	12
Third party / Member States (4.4; 4.5)	0,36

### **Outsmart** uberqualified lawyers

#### \* Be **precise** —> time frame

\* Be **reasonnable** —> target and offer priorisation as "fair solution"

\* Be **patient** 

\* Be ready to play with super qualified lawyers

### How to pretend you make a **compromise**

- all correspondence (including emails), the list of meetings with detailed minutes and any other reports of such meetings concerning the consultation on the 1999 chlorpyrifos DAR within the EU Commission, and also between the EU Commission and Member States or Member States competent authorities.
- all correspondence (including emails) to the EU Commission from Dow and other chlorpyrifos manufacturers from January 1993 to December 1995.
- all correspondence (including emails) concerning the designation by the EU Commission of Spain as the rapporteur Member State for chlorpyrifos between 1993 and 1995.
- the minutes of the first tripartite meeting of 23 October 2000 on chlorpyrifos with the main data submitter and the rapporteur Member State, and also all correspondence (including emails) concerning this meeting.
- all correspondence (including emails), the list of meetings with detailed minutes and any other reports of such meetings concerning the consultation following the first tripartite meeting of 23 October 2000 on chlorpyrifos.
- 6) the minutes of the second tripartite meeting of 3 Februray 2004 on chlorpyrifos with the main data submitter and the rapporteur Member State, and also all correspondence (including emails) concerning this meeting.
- all correspondence (including emails), the list of meetings with detailed minutes and any other reports of such meetings concerning the consultation following the second tripartite meeting of 3 February 2004 with the main data submitter and the rapporteur Member State.
- 8) all documents (correspondence, memos, etc.), and the list of meetings with detailed minutes and any other reports of such meetings concerning the final examination and review of chlorpyrifos for the meetings of the Standing Committee on the Food Chain and Animal Health (SCOPAFF) of 3 June 2005.
- the detailed minutes of the meeting of the SCOPAFF of 3 June 2005.
- the Review Report (SANCO/3059/99 rev. 1.5) for chlorpyrifos, finalised in the Standing Committee on the Food Chain and Animal Health (SCFCAH).
- all correspondence (including emails), the list of meetings with detailed minutes and any other reports of such meetings concerning the request by DG SANCO to the Chlorpyrifos Task Force to provide toxicological studies to the Commission, to EFSA and to Spain as rapporteur Member State (RMS) in 2012.

#### And on chlorpyrifos and/or other plant protection products:

- the concise outline report of ECCO 131 peer review meeting (14464/ECCO/BVL/03, 12 February 2003), and all its annexes, including:
  - -Annex 5: thiamethoxam (Rep\_4(ECCO131)\_5thiamethoxam.doc)
  - -Annex 6: chlorpyrifos (Rep\_4(ECCO131)\_6chlorpyrifos.doc)
  - -Annex 7: chlorpyrifos-methyl (Rep\_4(ECCO131)\_7chlorpyrifos-methyl.doc)
- 15) the concise outline report of ECCO 132 peer review meeting (14691 /ECCO/BVL/03, 10 March 2003), and all its annexes, including:
  - -Annex 5:thiamethoxam (Rep\_1(ECCO132)\_5thiametoxam.doc)
  - -Annex 6: chlorpyrifos (Rep\_1(ECCO132)\_6chlorpyrifos.doc)
  - -Annex 7: chlorpyrifos-methyl (Rep\_1(ECCO132)\_7chlorpyrifos-methyl.doc)
- 16) the concise outline report of ECCO 82 peer review meeting, and all its annexes (1999)
- 17) the concise outline report of ECCO 76 peer review meeting, and all its annexes (1999)
- 18) the concise outline report of ECCO 78 peer review meeting, and all its annexes (1999)

### **Initial FOI request**

(I admit I got carried away)

#### (I deleted stuff)

 all correspondence (including emails), the list of meetings with detailed minutes and any other reports of such meetings concerning the consultation on the 1999 chlorpyrifos DAR within the EU Commission, and also between the EU Commission and Member States or Member States competent authorities.

- all correspondence (including emails) to the EU Commission from Dow and other chlorpyrifos manufacturers from January 1993 to December 1995.
- all correspondence (including emails) concerning the designation by the EU Commission of Spain as the rapporteur Member State for chlorpyrifos between 1993 and 1995.
   >> all correspondence (including emails) between the EU Commission and Spain concerning the designation by the EU Commission of Spain as the rapporteur Member State for chlorpyrifos between 1993 and 1995.
- 4) the minutes of the first tripartite meeting of 23 October 2000 on chlorpyrifos with the main data submitter and the rapporteur Member State, and also all correspondence (including emails) concerning this meeting.
- 5) all correspondence (including emails), the list of meetings with detailed minutes and any other reports of such meetings concerning the consultation following the first tripartite meeting of 23 October 2000 on chlorpyrifos.
- 6) the minutes of the second tripartite meeting of 3 Februray 2004 on chlorpyrifos with the main data submitter and the rapporteur Member State, and also all correspondence (including emails) concerning this meeting.
- 7) all correspondence (including emails), the list of meetings with detailed minutes and any other reports of such meetings concerning the consultation following the second tripartite meeting of 3 February 2004 with the main data submitter and the rapporteur Member State.
- 8) all documents (correspondence, memos, etc.), and the list of meetings with detailed minutes and any other reports of such meetings concerning the final examination and review of chlorpyrifos for the meetings of the Standing Committee on the Food Chain and Animal Health (SCOPAFF) of 3 June



- -Within 15 working days from registration of the request (+15)
- Confirmatory application if total/partial refusal or no response





#### the EU Commission

https://ec.europa.eu/transparency/regdoc/index.cfm?fuseaction=fmb&language=en

#### the European Parliament

https://www.europarl.europa.eu/RegistreWeb/requestdoc/secured/form.htm?language=EN

#### the Council

https://www.consilium.europa.eu/en/documents-publications/public-register/request-document/



https://www.asktheeu.org/fr

### **Chapter 2: Practice**



#### TABLE OF CONTENTS



Grey on Grey (2014) European Commission



# FOI as a tool to investigate LOBBYING

## <u>what LOBBYING is</u>

Scrap Water down **Divert** Delay Write the law



## who are the "LOBBYS"

- \* Trade associations : Business Europe, CEFIC, CosmeticsEurope, EFPIA...
- \* In-house lobbyists : Bayer, BASF, Microsoft...
- \* Lobbying and public relations firms : APCO, Burson-Marsteller, Fleishman-Hillard...
- \* Think tanks : European Risk Forum...
- \* Law firms
- \* Product-Defense firms (science & technical matters) Exponent, Gradient, The Weinberg Group,...

### <u>diy MAPPING</u>





European Commission





Targets



Council



**European Parliament** 

+ EU Agencies

## **WHO's who**



#### https://op.europa.eu/en/web/who-is-who

I		CAB TAJANI	nttp://www.europari.europa.eu/tne-president/tr/ie-cabinet	1
EVANS Lowri (mrs)	DG GROW	Director general	https://ec.europa.eu/info/persons/director-general-lowri-evans_en	09.2015 -
D'ACUNTO Salvatore	DG GROW	Head of unit Dir D — D/4 Health Technology and Cosmetics		
PETTINELLI Carlo	DG GROW	Director Dir D — Consumer, Environmental and Health Technologies	Led the negotiations in trilogue	(11.2015) =2018
HANSSON Erik	DG GROW	Deputy Head of Unit Dir D — Consumer, Environmental and Health Technologies Unit 4. Health technology and Cosmetics	Working on MDs since 2012, in charge of the implementation of the Commission's « PIP Action plan ». EU rep at IMDRF <u>http://www.imdrf.org/about/mcma.asp</u>	
ROCHE Jean-François	DG GROW	Policy Officer Dir D — Consumer, Environmental and Health Technologies Unit 4. Health technology and Cosmetics		
HOUDRY Vincent	DG GROW	Policy Officer Medical Devices Dir D — Consumer, Environmental and Health Technologies Unit 4. Health technology and Cosmetics	Formely at the Permanent representation of France in Brussels at the Council as Counsellor (health, medicines and medical devices) at the Employment, social policy and health department 2012-2016 <u>https://www.linkedin.com/in/vincent-houdry-58403a32/</u> Formerly French ministry/agency ANSM. One bio says he worked for the industry but this is not mentioned on his linkedIn profile <u>https://www.medpharmplasteurope.org/sites/default/files/articles/files/29-11-</u> 2016%20MPPE%20Conference%202016_speakers%27%20bios.pdf.	Since July 2016
SCALZO Salvatore	DG GROW	Policy and Legal Officer THEN Legal Assistant Dir D — Consumer, Environmental and Health Technologies Unit 4. Health technology and Cosmetics	Main tasks: Negotiation of the proposed new EU Regulations on medical devices; Coordinator of the the EU Work Groups on device identification and traceability (UDI) and medical software; Harmonised standards (evaluation and daily policy management); contribution to the coordination of IMDRF (International Medical Devices Regulators Forum)-related activities and representation of the EU in the Forum; Policy and legal support in the context of other international activities; EU member of the GMDN (Global Medical Device Nomenclature) Policy Advisory Group.	Nov. 2013

#### "Participation: the sooner, the better"



### HARVEST time 🚲

Nr.	Title of document	Type of document	Date of document	Associati on/Organi sation/Co mpany concerne d	Reference Number	Sender	Addressee	Attach ment(s )	Discl osure ? (Y/N)	Exception under Regulation 1049/2001	Comments
	<u>\</u>					MEP M.					redaction of content which is outside
1	N/A	letter	16/01/2012		Ares(2012)54128	McGuinness	Commission		Y	Article 4(1)(b)	the scope of the request
2	N/A	letter	31/01/2012		Ares(2012)123821	MEP M. McGuinness	Commission		Y	Article 4(1)(b)	redaction of content which is outside the scope of the request
3	Poly Implants Prothese (PIP)	letter	23/02/2012		Ares(2012)208773	Commission	MEP M. McGuinness		Y	Article 4(1)(b)	redaction of content which is outside the scope of the request
4	Revision of the regulatory framework for medical devices	note+annex to the note	02/02/2012		Ares(2012)118034	Commission	N/A	1	partial	Articles 4(1)(b); 4(2)	
5	Commission letter	e-mail+letter	06/02/2012		Ares(2012)135080	Team-NB	Commission	1	Y	Article 4(1)(b)	
6	N/A	letter	01/03/2012		Ares(2012)240920	Commission	Team-NB		Y	Article 4(1)(b)	redaction of content which is outside the scope of the request
7	MedTech Forum 2012	e-mail+letter	29/05/2012		Ares(2012)641783	Eucomed	Commission		Y	Article 4(1)(b)	
8	COCIR concerns on Medical Devices legislation	e-mail	27/06/2012		Ares(2012)779037	COCIR	Commission		Y		
9	Eucomed letter MDD revision	e- mail+letter+a nnex to the letter	17/07/2012		Ares(2012)873810	Eucomed	Commission	2	Y	Article 4(1)(b)	
10	N/A	letter	02/08/2012		Ares(2012)935916	Commission	Eucomed		Y	Article 4(1)(b)	
11	Medical devices briefing	e-mail+note	21/09/2012		Ares(2012)1098020	Commission	N/A	1	Y	Article 4(1)(b)	redaction of content which is outside the scope of the request
12	Proposal for a regulation on medical devices	e-mail	21/09/2012		Ares(2012)1109499	AESGP	Commission		Y	Article 4(1)(b)	
13	MDR	e-mail	16/01/2013		Ares(2013)55178	BVMed	Commission		Y	Article 4(1)(b)	
14	Your e-mail on medical devices regulation	letter	06/02/2013		Ares(2013)152895	Commission	B∨Med		Y	Article 4(1)(b)	
15	IVD - legal advice	e-mail+note	21/01/2013		Ares(2013)69533	MEP Liese	Commission	1	Y	Article 4(1)(b)	English language version of the note is provided
16	Invite to speak on changing medical Device regulations	e-mail	29/03/2013		Ares(2013)721200	BSI	Commission		Y	Article 4(1)(b)	
17	Invite to speak on changing medical Device regulations	e-mail	15/04/2013		sanco.ddg1.b.dir(201 3)788816	Commission	BSI		Y	Article 4(1)(b)	
18	Draft reports of the European Parliament on the Proposal for a Regulation on medical devices	note	22/04/2013		Ares(2013)735775	Commission	N/A		Y	Article 4(1)(b)	
19	re: Invitation to speak at European MedTech CEO RoundTable	e-mail+letter	18/06/2013		Ares(2013)2471851	MedTech Europe	Commission	1	Y	Article 4(1)(b)	

## <u>this is your LIFE now</u>



### <u>a FOI ()''s best friend 1: OCR</u>



With these clear indications of risk Denmark does not find it possible to use realistic risk mitigation

### 



### if you are LUCKY (good old days memories)



#### FUEHRING Stefan (SG)

From:	< .@bayer.com>
Sent:	07 June 2013 14:04
To:	KLINGBEIL Marianne (SG); MOSER Stefan (SG)
Cc:	
Subject:	Notwendigkeit für Impact Assessment - Vorschlag der Kommission zu Endokrinen
	Disruptoren
Attachments:	Teagasc ED Impact Assessment.pdf; 22658_Agri impact of ED criteria - April 2013
	(2).pdf
Categories:	Blue Category

Sehr geehrte Frau Dr. Klingbeil, sehr geehrter Herr Moser,

Die Europäische Kommission bereitet zur Zeit unter Federführung von DG ENV eine "Empfehlung für eine Gemeinschaftsstrategie zu Endokrinen Disruptoren" vor. Hierbei wird die Kommission auch einen Vorschlag ("Recommendation") für die Definition, Identifizierung und Kategorisierung von Endokrinen Disruptoren vorlegen. Die Empfehlung ist engstens verknüpft mit den EU-Regulierungen zu Chemikalien, Pflanzenschutzmitteln, Bioziden und Kosmetika (Notwendigkeit der Umsetzung erfolgt in sektorale Gesetzgebung).

Wir bitten Sie deswegen, sich für die Durchführung eines Impact Assessments einzusetzen.

Sehr gerne würden wir weitere Argumente, die auf einer Analyse einer von uns beauftragten Internationalen Kanzlei beruhen, mit Ihnen in einem persönlichen Gespräch noch vor der Sommerpause austauschen.

Freundliche Grüße / Best regards



•

Science For A Better Life

Bayer CropScience Square de Meeus 40 Belgium - 1000 Brussels



e that my colleague Tobias sent out earlier today to your capital context consistence of the second process of

 .@ecpa.eu>

 23 March 2013 11:31

 JOHNSTONE Duncan (SG)

 \*'.@dow.com

 Follow-up: Meeting with Dow AgroSciences and the European Crop Protection

 Follow-up: Meeting with Dow AgroSciences and the European Crop Protection

 3-US-EPA Comments - Commission ED Criteria pdf, 22658 ECDA and it

3-US-EPA Comments - Commission ED Criteria.pdf; 22658 ECPA agri impact assessment of ED criteria - March 2013.pdf

#### "lack of impact assessment"

the key points for us is the lack of impact assessment to accompany the development of elieves will have deep impacts inter alia on manufacturing, trade, agricultural output and s to develop criteria to identify and rules to regulate endocrine disruption has been ongoing cognise the calls for further information on the possible impacts of the final criteria. This has no ntforward task with several elements of the proposals being uncertain. But based on the second appears closer to the final criteria, we have undertaken an impact assessment for pesticides wh

@amchameu.eu>
24 May 2013 09:58
GIRAL-ROEBLING Anne (ENTR)
Request for a meeting with AmCham EU: lacking impact assessment on endocrine disruption draft criteria - 30 May meeting of the Commission's ad hoc working group on endocrine disruptors

"assessing what its impacts will be"

ear Ms. Giral-Roebling,

Such a decision will have wide reaching implication for the REACH system and other EU environmental policy ,as w for all industry actors who comply with this legislation, and we are worried to see that this decision, which is the si of many scientific debates, might be taken on political grounds, without first assessing what its impacts will be on European Market.

@bayer.com> From: Pierre Bouygues [mailto:PBO@amchameu.eu] < 07 June 2013 14:04 Sent: Wednesday, May 29, 2013 9:36 AM KLINGBEIL Marianne (SG): MOSER Stefan (SG) To: DUDZINSKA Katarzyna (BEPA) Cc: GLOVER Anne (BEPA); MUELLER Jan Marco (BEPA); meglena.mihova@eppa.com Notwendigkeit für Impact Assessment - Vorschlag der Kommission zu Endokrinen Subject: RE: Request for a meeting with AmCham EU: lacking impact assessment on endocrine disruption draft criteria Teagasc ED Impact Assessment.pdf; 22658\_Agri impact of ED criteria - April 2013 : impact<u>assessment</u> Dear Ms. Dudzinska, ments: ed that any decision The AmCham EU Environment Committee not just and (2).pdf on thresholds for endocrine disruptors would be adopted at the end of June. Therefore, we would like to kindly request a meeting with Professor Glover before eehrte Frau Dr. Klingbeil, sehr geehrter Herr Moser, the end of June to discuss with her the importance of an impact assessment before 'impact assessment' FLUEH Michael (SANCO) @ecpa.eu> 25 June 2013 07:11 ·om: 07 October 2013 14:35 ent: Francesca.Benini@ec.europa.eu FW: BTO of meeting Commissioner Borg/ECPA on 26 September 2013 GIRAL-ROEBLING Anne (ENTR); o: @basf.com; ubject: @basf.com On endocrine disruptor industry welcomes work of EFSA and on fact that impact Follow-up: Meeting with ECPA and BASF regarding Endocrine Disruptors W13-032 - RD spending.pdf assessment will now be carried out. "substancial impact on research" Dear Mrs Benini

From: Sent: Tuesday, July 07, 2015 2:48 PM To: BERMIG Carsten (CAB-BIENKOWSKA) Subject: BVMed goes Brussels – Trilog zur Medical Device Regulation kann beginnen / Einladung zum Arbeitsessen am 21. September 2015

Ende Juni hat der Europäische Rat eine Positionierung zur geplanten Medizinprodukteverordnung (Medical Device Regulation) gefunden. Im September könnte dann der Trilog beginnen.

Bei einem

Arbeitsessen im Restaurant "Amor Amor" Rue du Trône, 59 1050 Bruxelles am Montag, 21. September 2015 von (18.30 Empfang) 19.00 – 21.00 Uhr



### if you are NOT lucky (present times ballad)



#### - Explosion of **delays** aggravated by the Covid crisis

"An extended time limit is needed due to internal consultations and a thorough analysis of your request."

"potentially a very large number of documents and information."

"The queries and verifications require indeed a workload that cannot be absorbed within the extended time limit."

"This email is just to inform you that the reply is under hierarchical validation and it will be sent to you as soon as signed at the appropriate level."



EUROPEAN COMMISSION DIRECTORATE-GENERAL FOR HEALTH AND FOOD SAFETY

Food and feed safety, innovation Pesticides and biocides

> Brussels, SANTE.E.4/DI/mb(2020)470117

Sent by email only to: horel@lemonde.fr

Dear Ms Horel,

Subject: Your application for access to documents – Ref GestDem No 2020/0297

According to our first estimates, the handling of your request would take 63 working days, broken down as follows:

- identification of the documents falling under your request: 20 working days;
- retrieval and establishment of a complete list of the documents identified: 3 working days;
- scanning of the documents: 3 working days;
- assessment of the content of the documents in light of the exceptions of Article 4 of Regulation 1049/2001: 10 working days:
- third-party/Member State consultations under Article 4(4) and/or 4(5) of Regulation 1049/2001: 10 working days;
  - final assessment of the documents in light of the comments received: 5 working days;
  - drafting of the reply: 2 working days;
  - redaction of those parts of the documents to which one or several exceptions apply(ies): 3 working days;
  - internal approval of the draft decision on your request: 5 working days;
  - preparation of the reply and the documents for dispatch (scanning of the redacted versions, administrative treatment,...): 2 working days.



#### - Redaction of Commission staff names under Director level

#### @cefic.be> on behalf of @cefic.be> From: Monday 17 March 2014 16:32 Sent: (ENV); To: (ENV); (ENTR): (ENTR); (ENTR); (SANCO); @ec.europa.eu: (SANCO); (SANCO); EC CSA; @ec.europa.eu; @ec.europa.eu.; (TRADE); (TRADE); (TRADE): (TRADE); (TRADE); @ec.europa.eu; (RTD); (RTD); ] (RTD); (AGRI); (AGRI); (SG); (AGRI); @ec.europa.eu; @ec.europa.eu; (JRC-ISPRA); (JRC-ISPRA); (SG); (SG) - ECPA (European Crop Protection Association) Cc:

#### SPEER Suzanne (BEPA)

#### - "Out of scope"

#### - Limitations on the **number** of documents

## <u>The EU Ombuds(wo)man,</u> <u>TRANSPARENCY advocate</u>



# One FOI a day keeps the doctor away

horel@lemonde.fr @stephanehorel Signal + 33 686 92 77 18

